

# *Umpolung: Carbonyl Synthons*

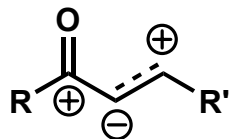
**William D. Shipe**

Organic Supergroup Meeting

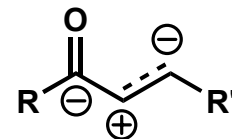
Princeton University

February 4, 2004

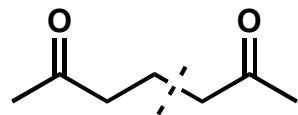
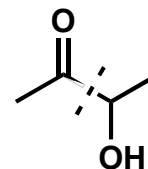
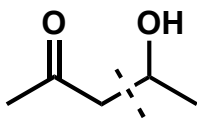
# Umpolung - The Carbonyl Group



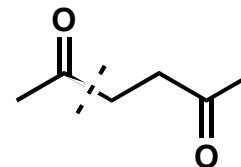
normal reactivity



inverted reactivity  
*umpolung*



odd number of carbons  
between functional groups



even number of carbons  
between functional groups

# Umpolung - Carbonyl Synthons

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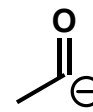
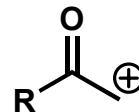
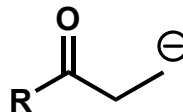
Polarity inversion is an old concept, but vigorous research in the area is of relatively recent origin.

The concept of synthons, or functional group equivalents, has spurred research.

**Synthons:** structural units within a molecule which are related to possible synthetic operations

Corey Pure Appl. Chem 1967 19

1. homoenolates
2.  $\alpha$ -electrophiles
3. acyl anions



# Umpolung - Carbonyl Synthons

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**Inversion reactions described as "symmetrization of reactivity"**

Corey Pure Appl. Chem 1967 19

**"charge affinity inversion"**

Evans Acc. Chem. Res. 1974 147

**suggested the German word *umpolung* as a concise expression for the concept**

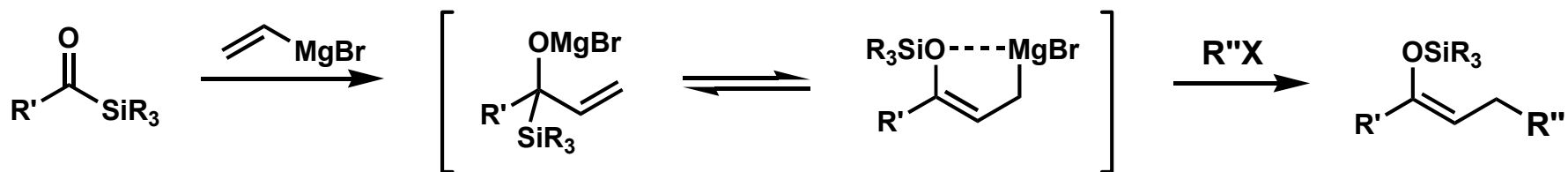
Seebach Chem. Ind. 1974 687

***umpolung*: pole reversal; reversion of polarity; turn-over**

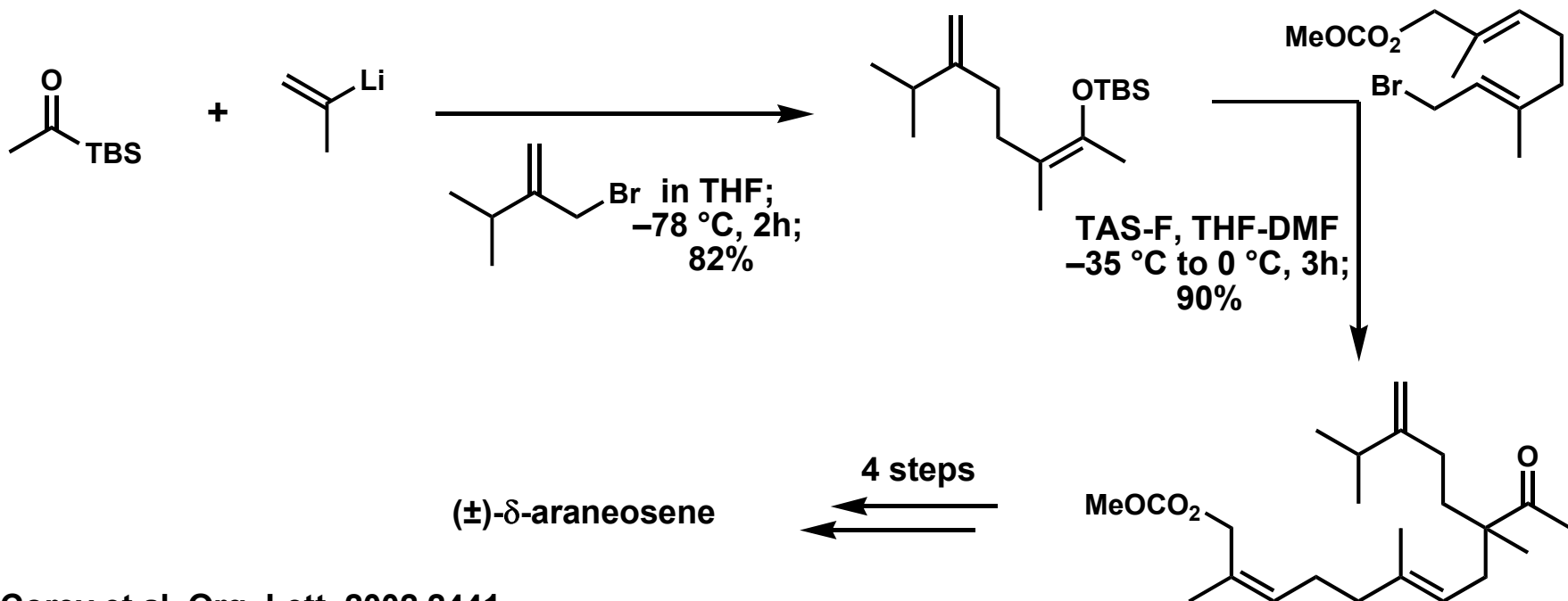
(<http://dictionaries.travlang.com/GermanEnglish/>)

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# Homoenolates

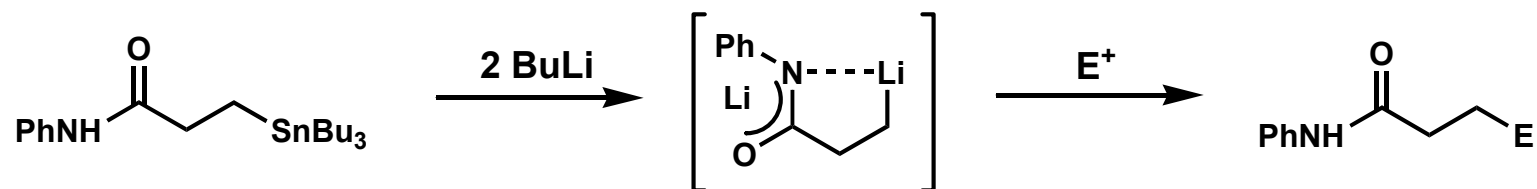


Kuwajima et al. Chem. Commun. 1979 708

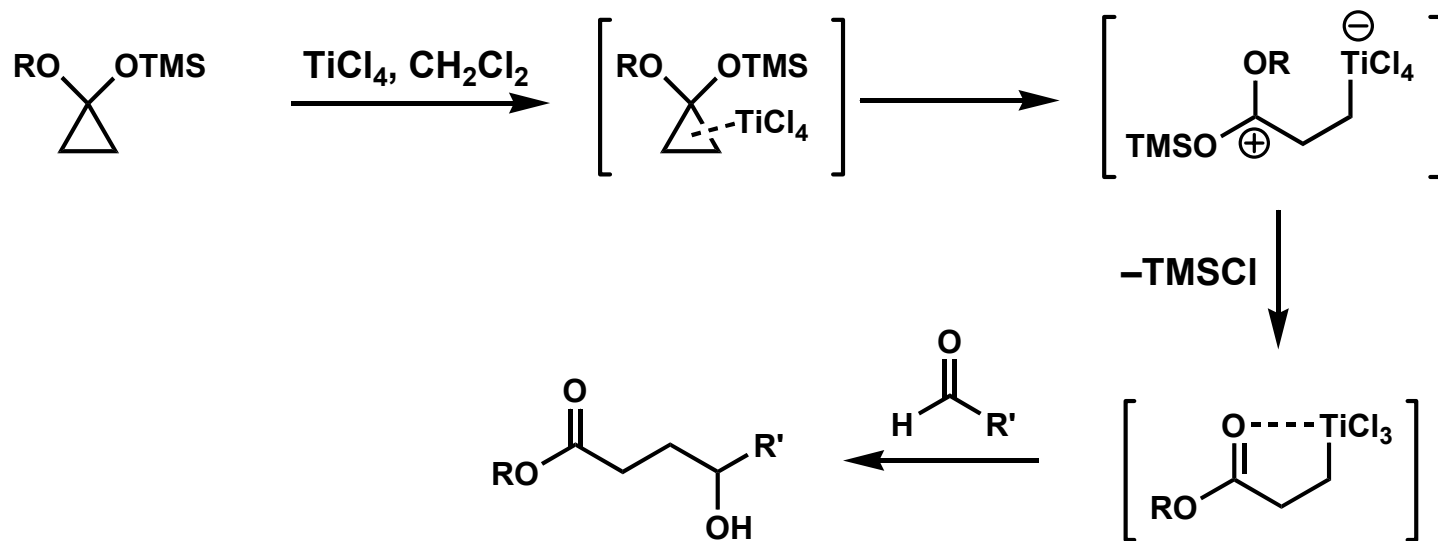


Corey et al. Org. Lett. 2002 2441

# Homoenolates

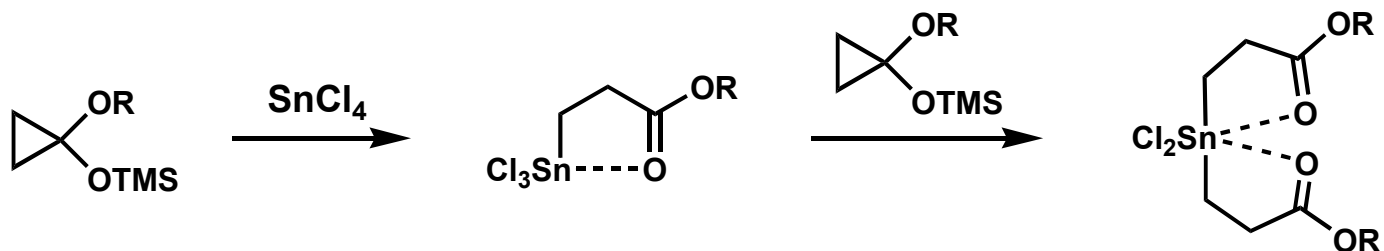


Goswami et al. JACS 1980 5973



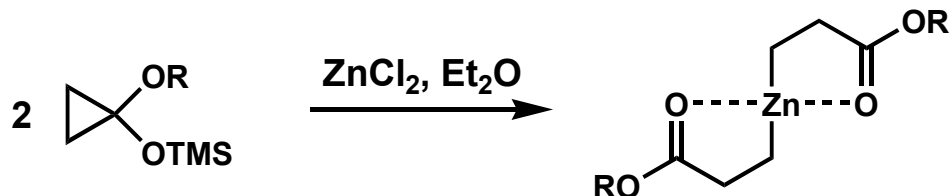
Kuwajima et al. JACS 1986 3745

# Homoenolates



Kuwajima et al. *Organometallics* 1985 641

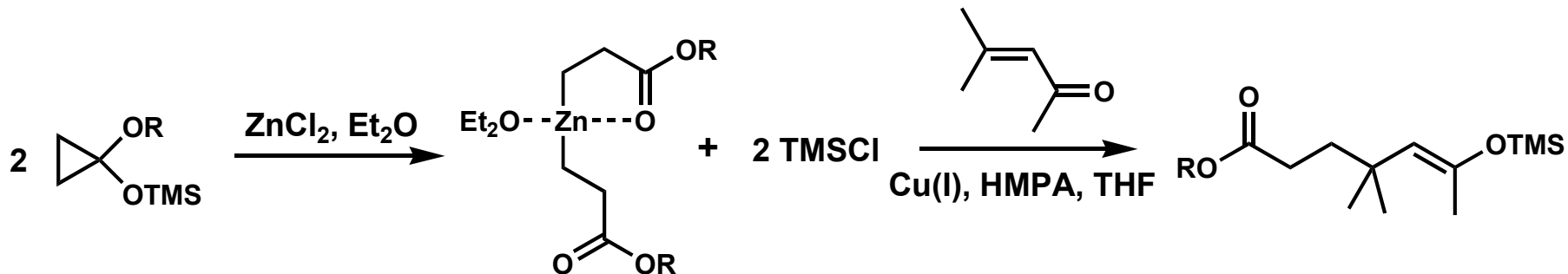
mercury, copper, silver, and gold homoenolates have also been synthesized



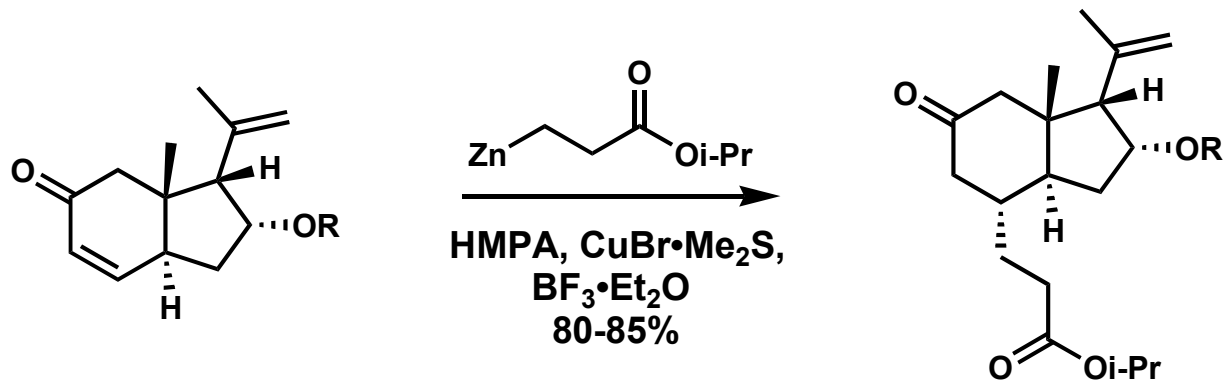
Nakamura, Kuwajima et al. *JACS* 1984 3368  
Nakamura, Kuwajima et al. *JACS* 1987 8056

when the zinc reagent contains two homoenolates bound to each zinc atom, only one of the homoenolates can be transferred; the second is unreactive

# Homoenolates



Kuwajima Pure and Applied Chem. 1988 115

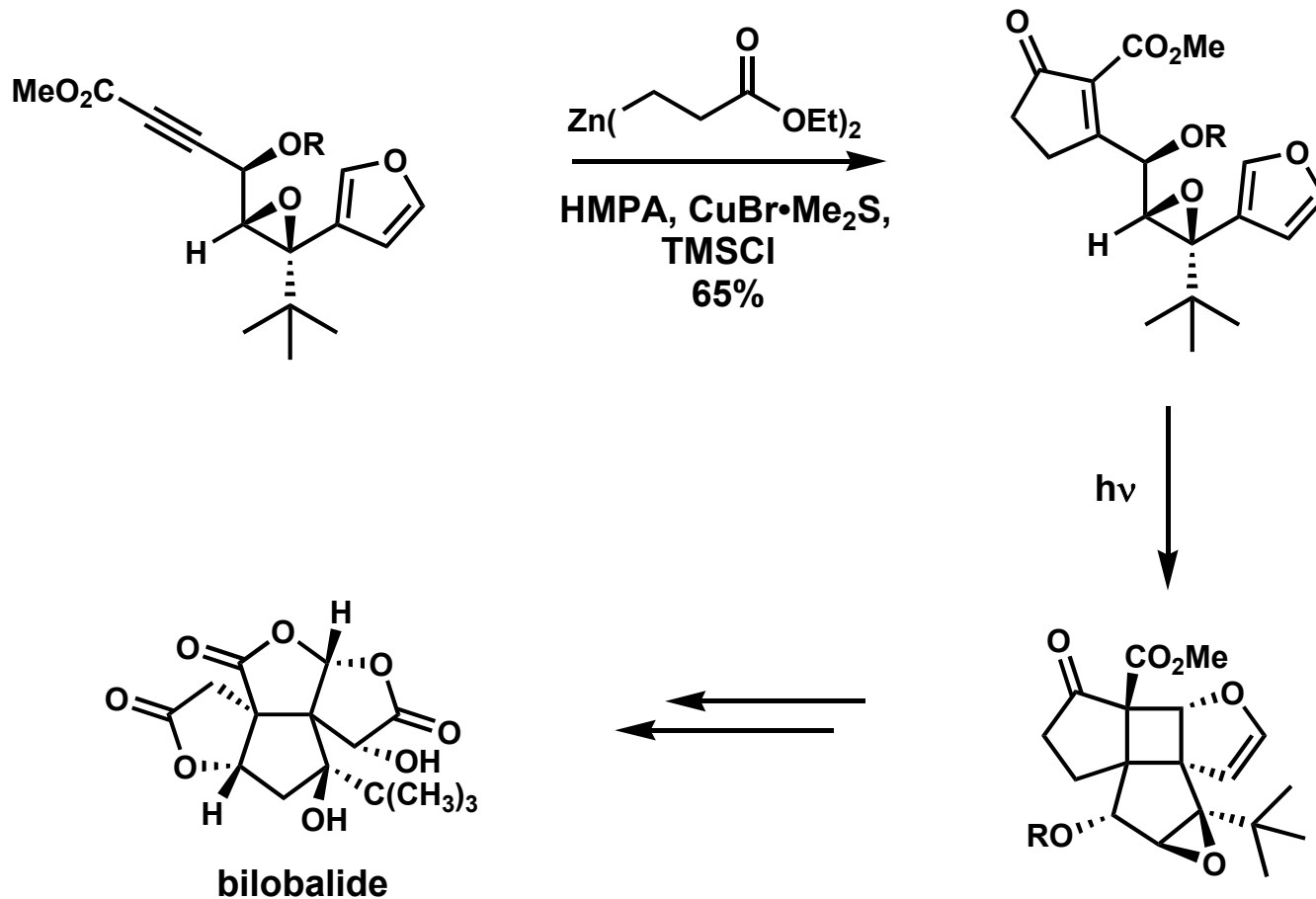


Nakamura, Kuwajima et al. JOC 1986 4323  
Nakamura, Kuwajima et al. JACS 1989 6257

> 97 : 3 diastereoselectivity  
cortisone and adrenosterone  
intermediate



# Homoenolates



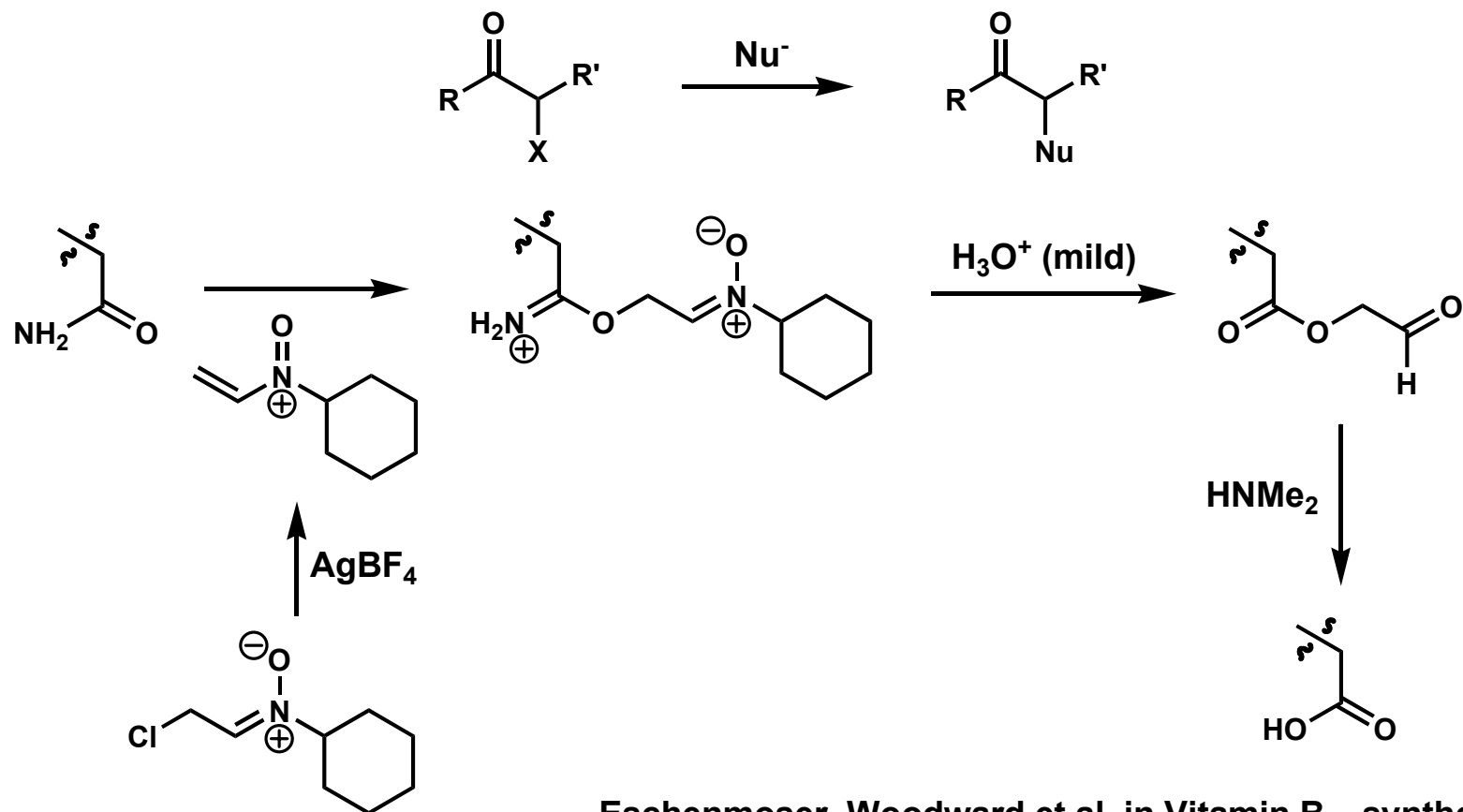
Crimmins et al. JACS 1993 3146

Reviews on Homoenolates:

Crimmins, Nantermet Org. Prep. and Proc. Int. 1993 41-81

Werstiuk Tetrahedron 1983 205

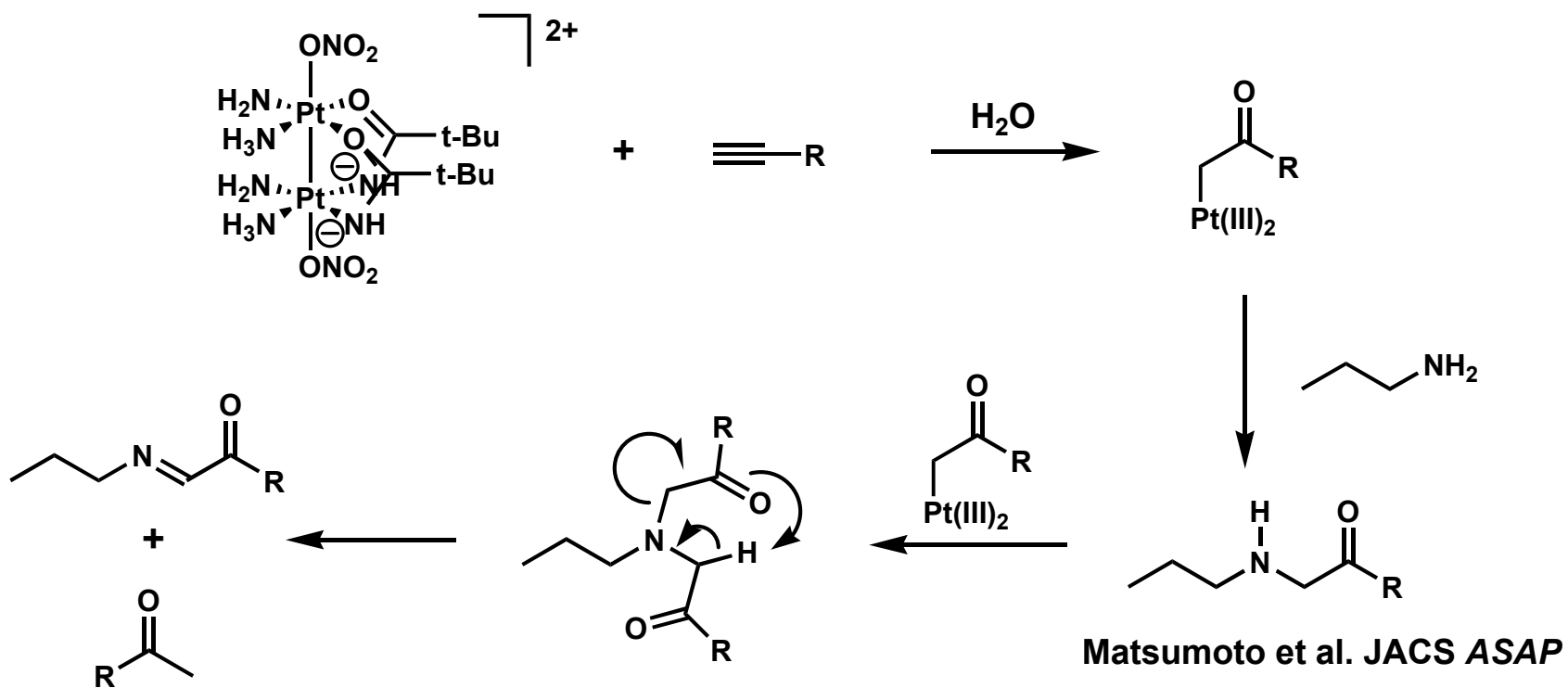
# $\alpha$ -Electrophiles



Eschenmoser, Woodward et al. in Vitamin B<sub>12</sub> synthesis  
See *Classics In Total Synthesis*, p. 134

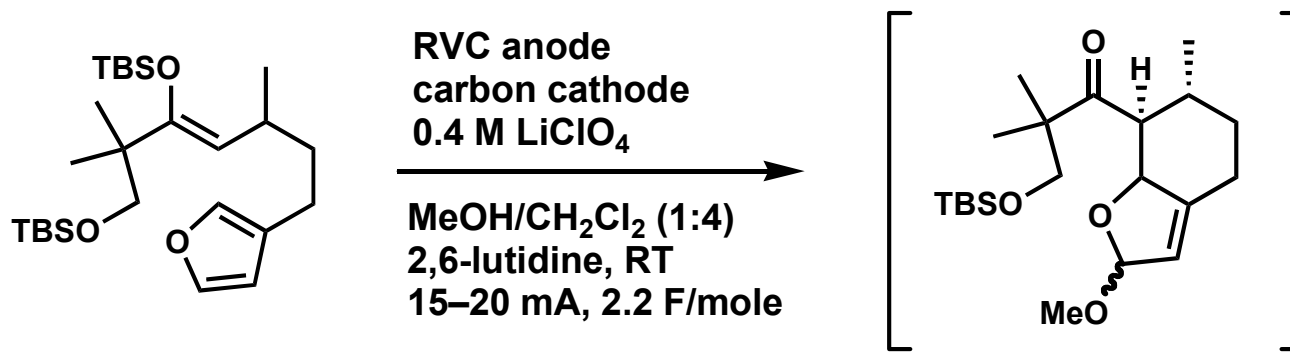
# $\alpha$ -Electrophiles

- $\pi$ -coordination of triple bond to the Pt(III) atom
- nucleophilic attack of water
- ketonyl–Pt(III) complexes react with amines to give  $\alpha$ -amino substituted ketone
- with 1° amines, further reaction occurs

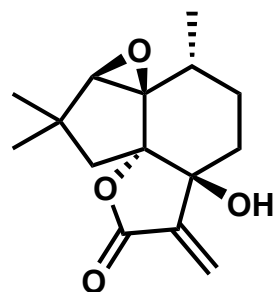


# $\alpha$ -Electrophiles

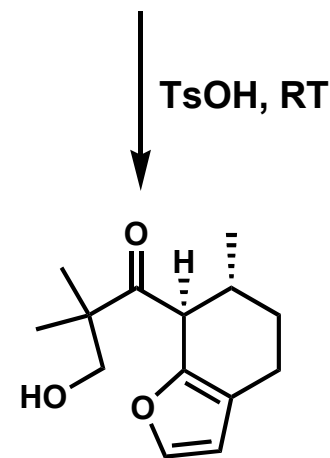
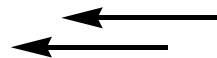
Anodic Oxidative Cyclization:



RVC = reticulated vitreous carbon



alliacol A

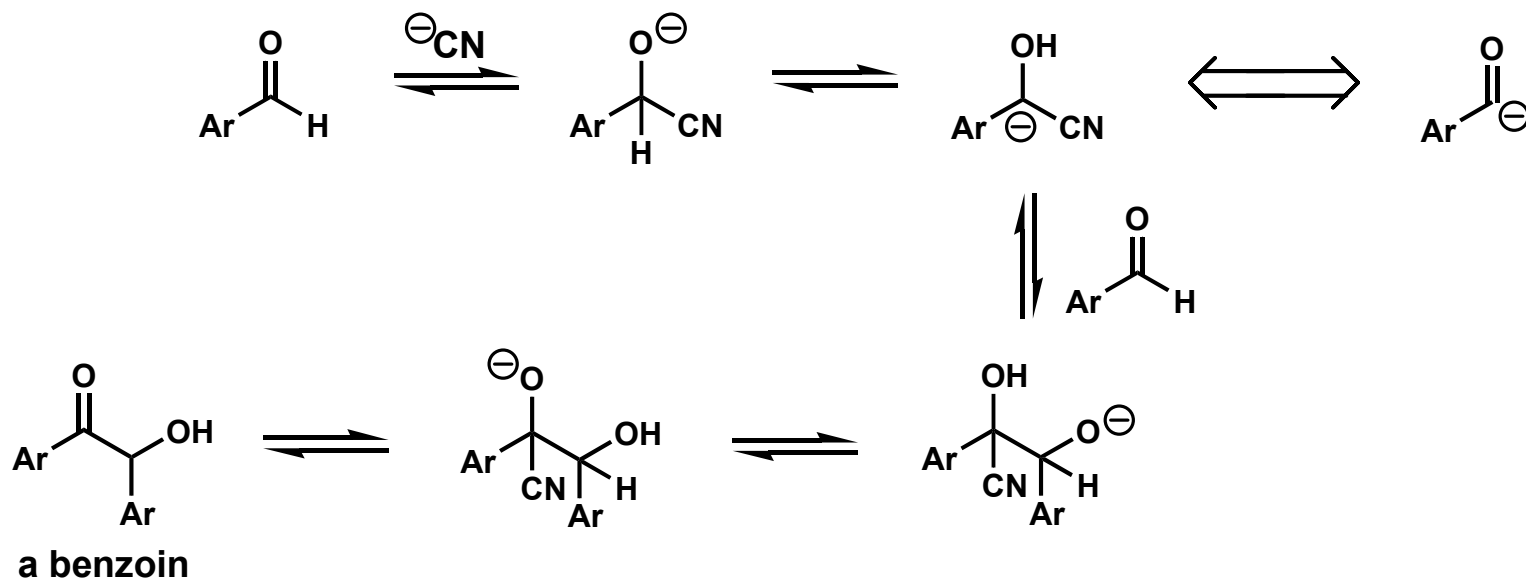


Moeller et al. JACS 2003 36

# Acyl Anions

## 1a. Benzoin condensation: Cyanide ion catalyzed addition

- cyanide ion catalyzed dimerization of aromatic and heterocyclic aldehydes to form  $\alpha$ -ketols
- nitrile-stabilized anions can also add to  $\alpha,\beta$ -unsaturated ketones, esters, and nitriles
- the reaction requires aprotic solvents (most preferably DMF)
- cyanide ion catalysis fails with aliphatic aldehydes because they undergo aldol condensations under the strongly basic conditions



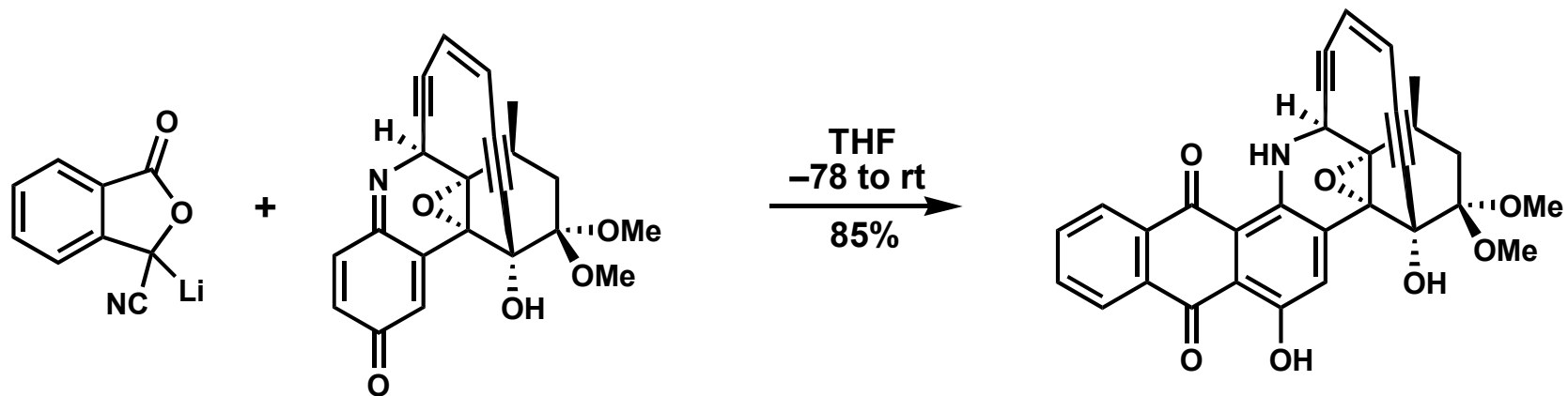
# Acyl Anions

## 1b. Protected cyanohydrins

- can serve as reagents for annulation

Stork et al. JACS 1974 5272

Kraus et al. Tet. Lett. 2000 21

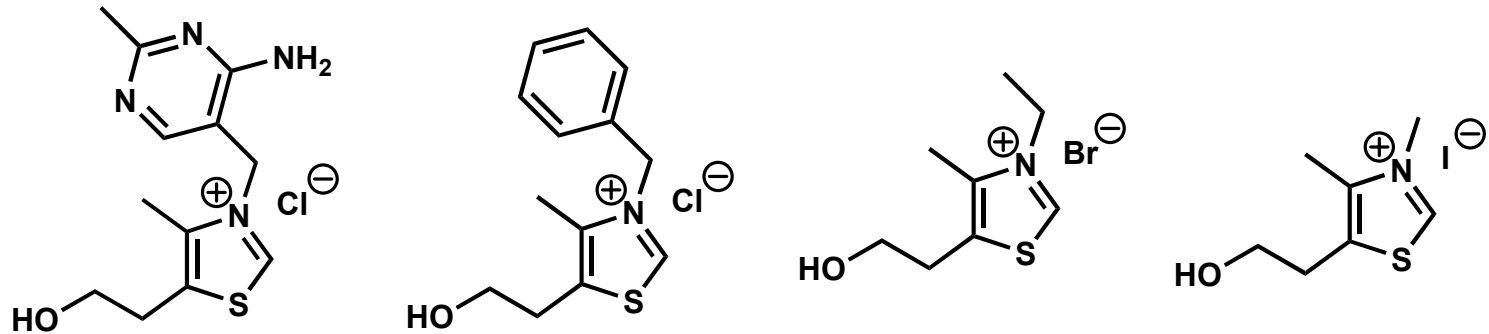


A. G. Myers et al. JACS 1997 6072

a dymemicin A intermediate

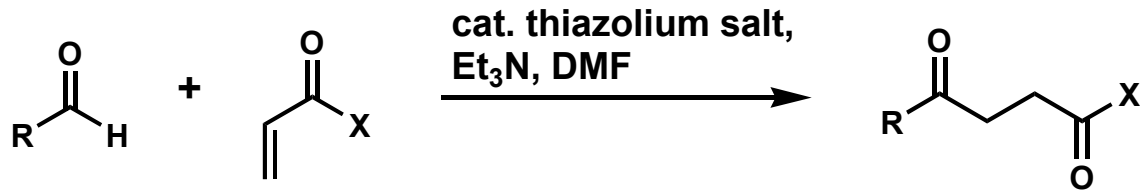
# Acyl Anions

## 2. Thiazolium salt catalyzed addition



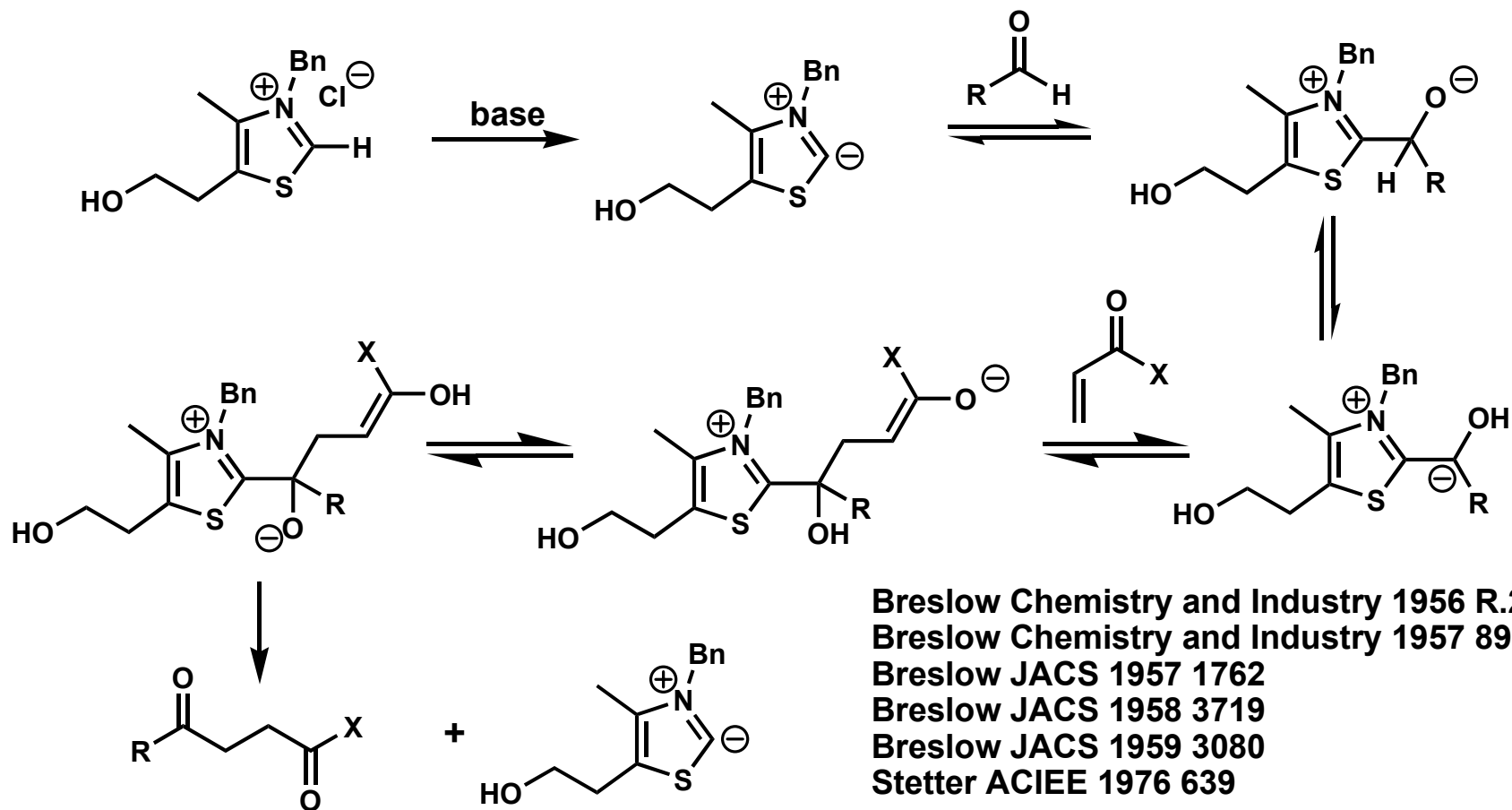
vitamin B<sub>1</sub> (thiamine)

- in the presence of base, quaternary thiazolium salts are converted to the ylide, which acts as catalyst (5-10 mol %)
- aliphatic, aromatic, and heterocyclic aldehydes add to  $\alpha,\beta$ -unsaturated ketones, esters, and nitriles
- Et<sub>3</sub>N or NaOAc are preferred bases
- DMF, dioxane, or even alcohols can function as solvent



# Acyl Anions

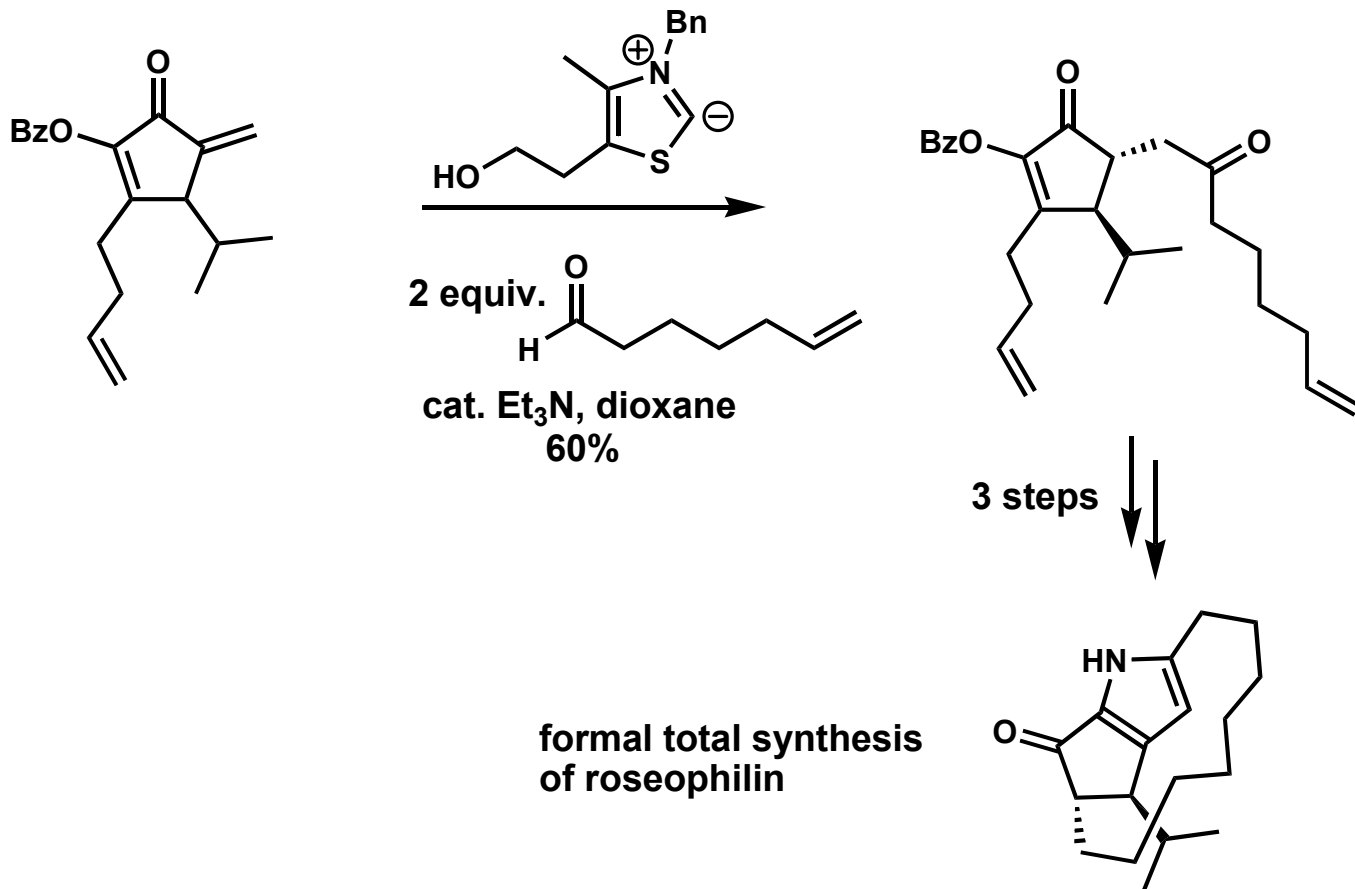
## 2. Thiazolium salt catalyzed addition (mechanism)





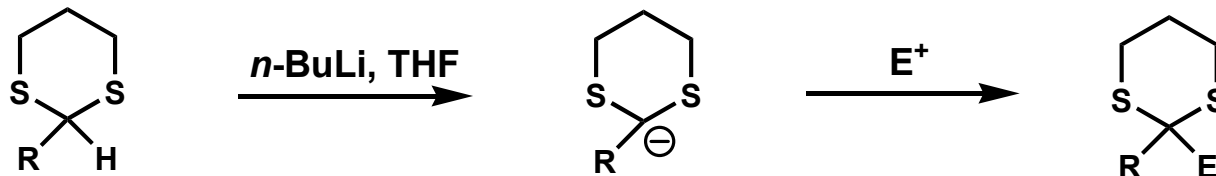
# Acyl Anions

## 2. Thiazolium salt catalyzed addition (example)



# Acyl Anions

## 3. Dithianes



- usually formed from corresponding aldehydes by thioacetalization
- R = primary, secondary, and tertiary alkyl, allyl, benzyl, aryl, and O-containing groups
- with alkyl halides: 70-90% yield
  - from protected formaldehyde, two alkylations can be done in a single reaction mixture without isolation of intermediates
- with epoxides: 70% yield to give mercaptals of  $\beta$ -hydroxy ketones or aldehydes
- with ketones and aldehydes: 70-90% yield to give mercaptals of  $\alpha$ -hydroxy ketones or aldehydes
- with imines: 70% yield to give mercaptals of  $\alpha$ -amino ketones or aldehydes
- with  $\text{CO}_2$ : 70-75% yield to give mercaptals of  $\alpha$ -keto carboxylic acids
- biggest drawback: removal of dithiane
  1. hydrolysis
  2. alkylative or oxidative hydrolysis
  3. reductive desulfurization (Raney Ni)

Corey, Seebach ACIEE 1965 1075

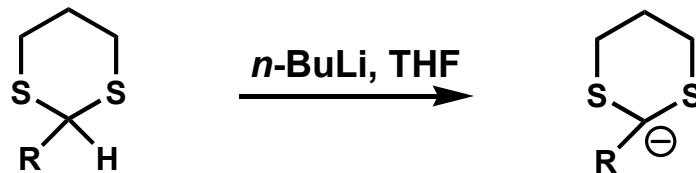
Corey, Seebach ACIEE 1965 1077

Seebach, Groebel Synthesis 1977 357

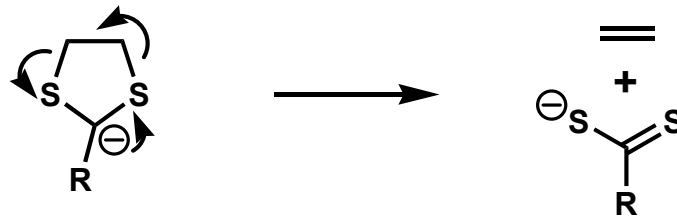
Page, Van Niel, Procter Tetrahedron 1989 7643

# Acyl Anions

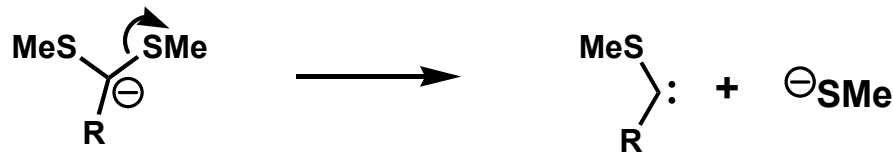
## 3. Dithianes



The 1,3-dithiane grouping was carefully chosen:



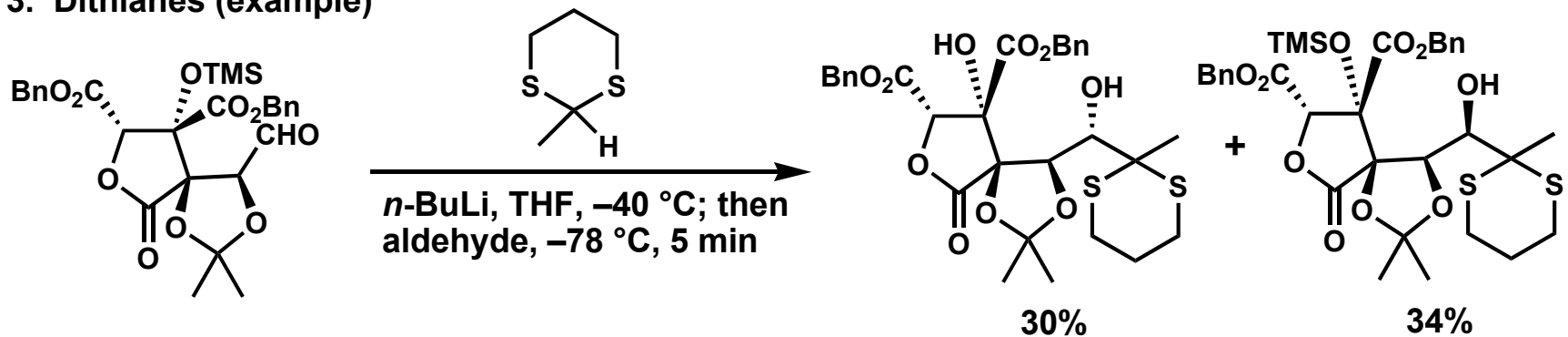
1,2-dithianes undergo a fragmentation reaction.



Dimethyl thioacetals are susceptible to carbene formation.

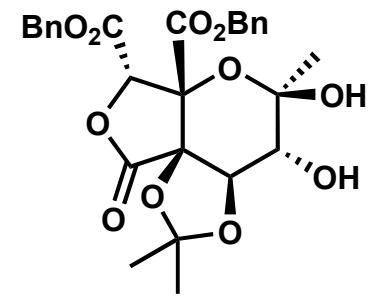
# Acyl Anions

## 3. Dithianes (example)



1. 2% HCl in MeOH,  $\text{CH}_2\text{Cl}_2$  (76%)
2.  $\text{Hg}(\text{ClO}_4)_2$ ,  $\text{CaCO}_3$ , THF- $\text{H}_2\text{O}$  (72%)

facile hydrolysis is perhaps assisted by nearby -OH group

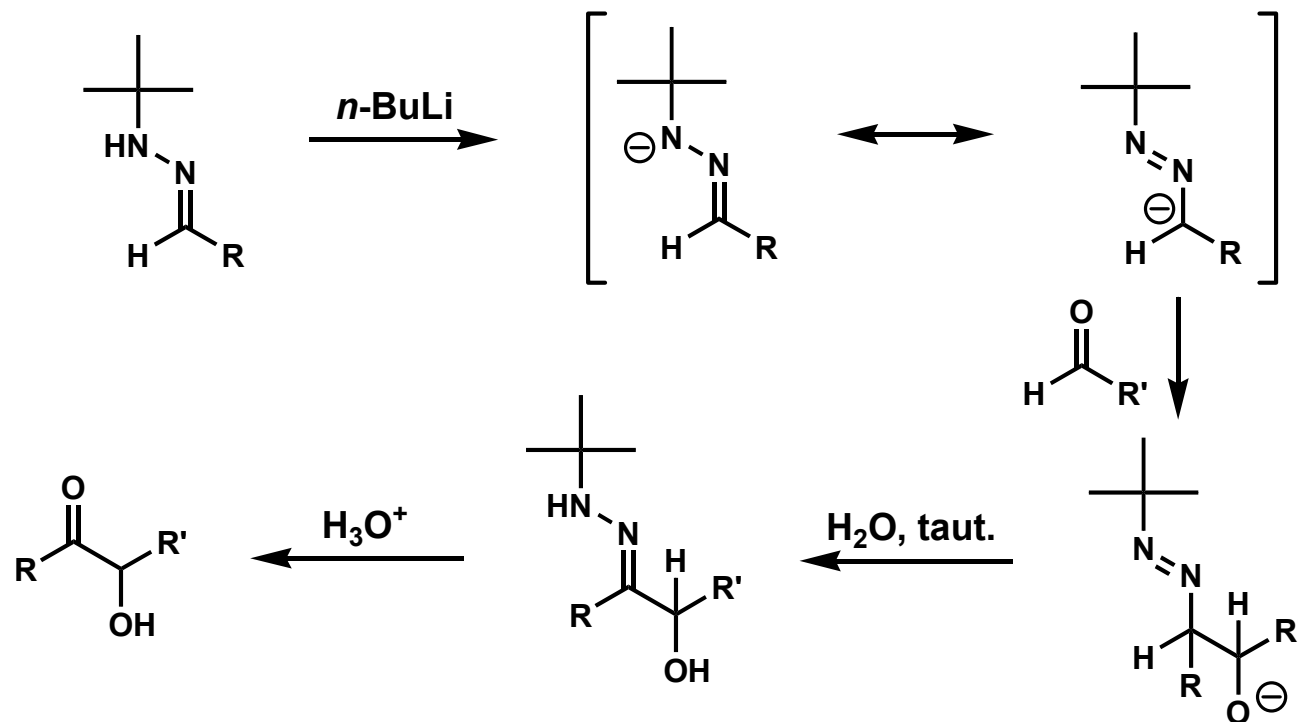


Nicolaou et al. in zaragozic acid A synthesis  
See *Classics In Total Synthesis*, p. 701

# Acyl Anions

## 4. *t*-Butyl hydrazones

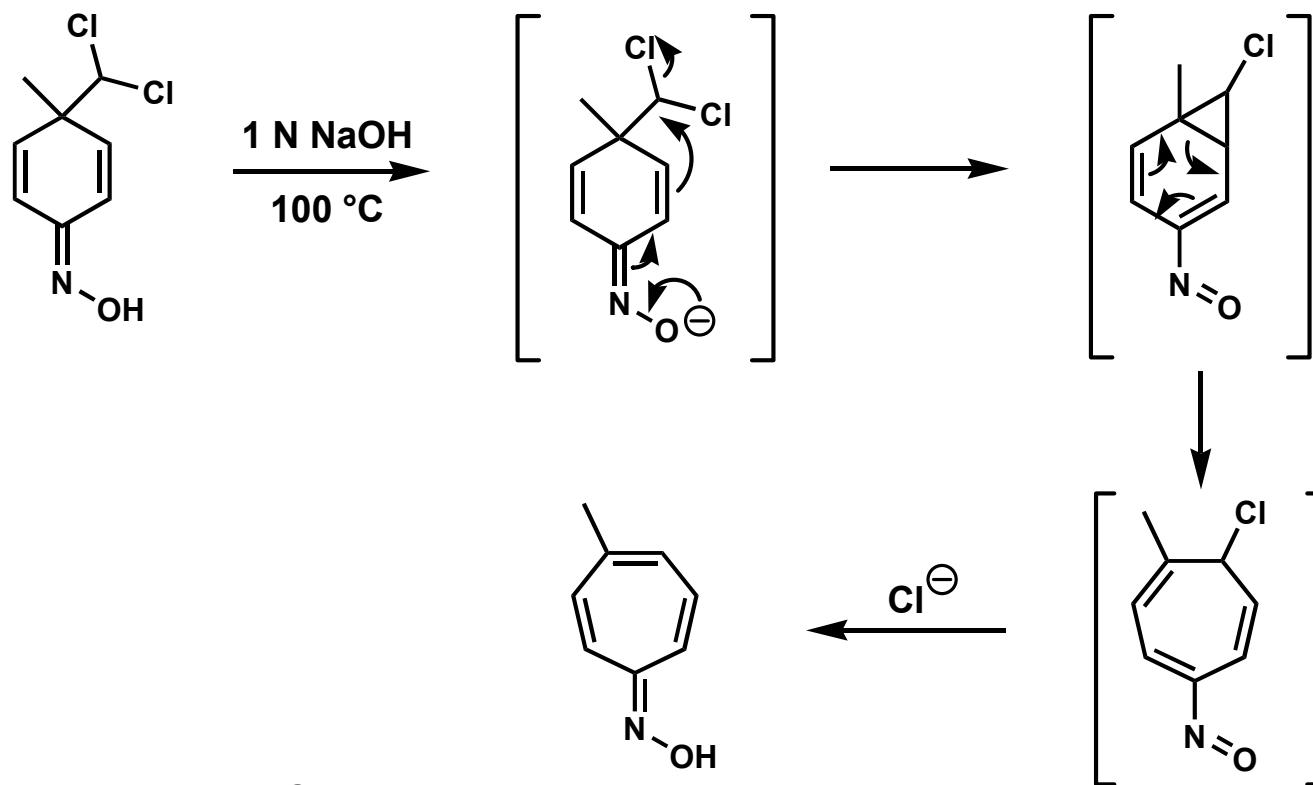
- formed by condensation of *t*-butyl hydrazine with aldehydes or ketones
- with methyl hydrazones, N-alkylation can be a problem; *t*-butyl group directs reaction along desired C-alkylation pathway
- can add to:
  - aldehydes/ketones (40-95%)
  - alkyl halides (15-83%)
  - Michael acceptors (methyl crotonate, methyl acrylate, acrylonitrile)
- acidic hydrolysis (oxalic acid) gives ketones



# Acyl Anions

## 5. Oximes

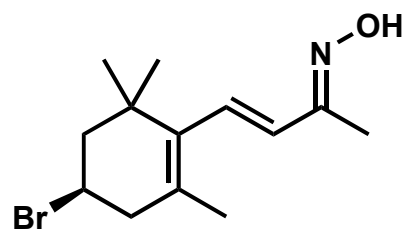
- formed by condensation of aldehydes or ketones with hydroxylamine
- base causes an inversion of polarity by deprotonation of the N-hydroxyl
- can be cleaved by oxidation, reduction, or hydrolysis



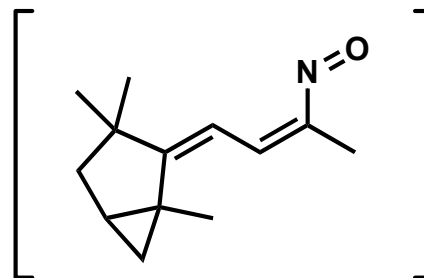
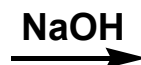
Eschenmoser et al. *Helv. Chim. Acta* 1958 2103

# Acyl Anions

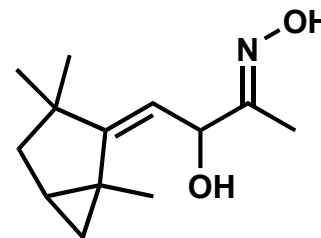
## 5. Oximes (example)



a  $\beta$ -ionone derivative

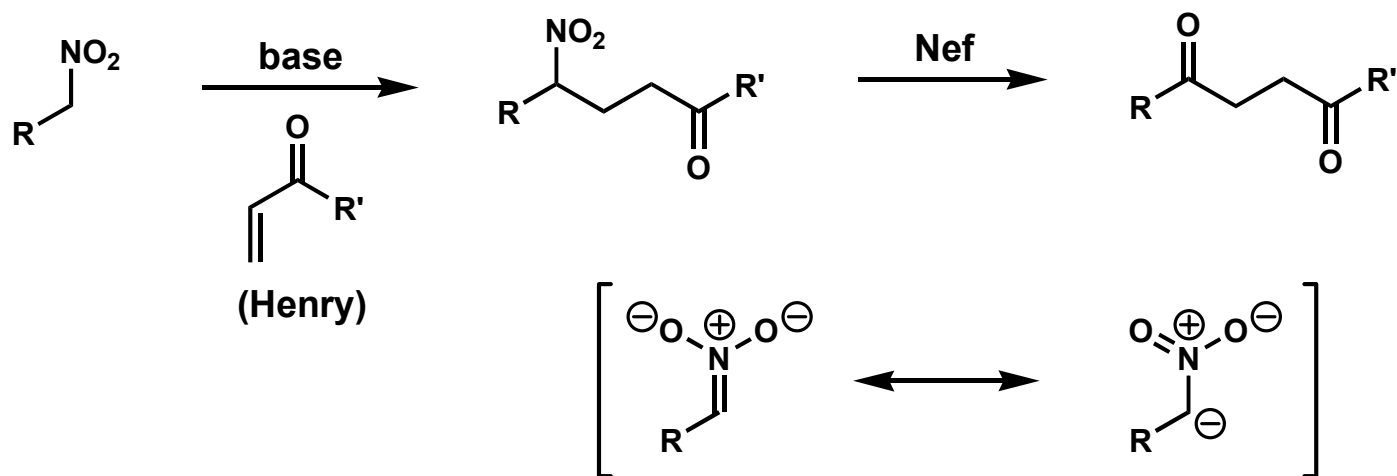


intense blue color



# Acyl Anions

## 6. Nitronate anions (Henry reaction)



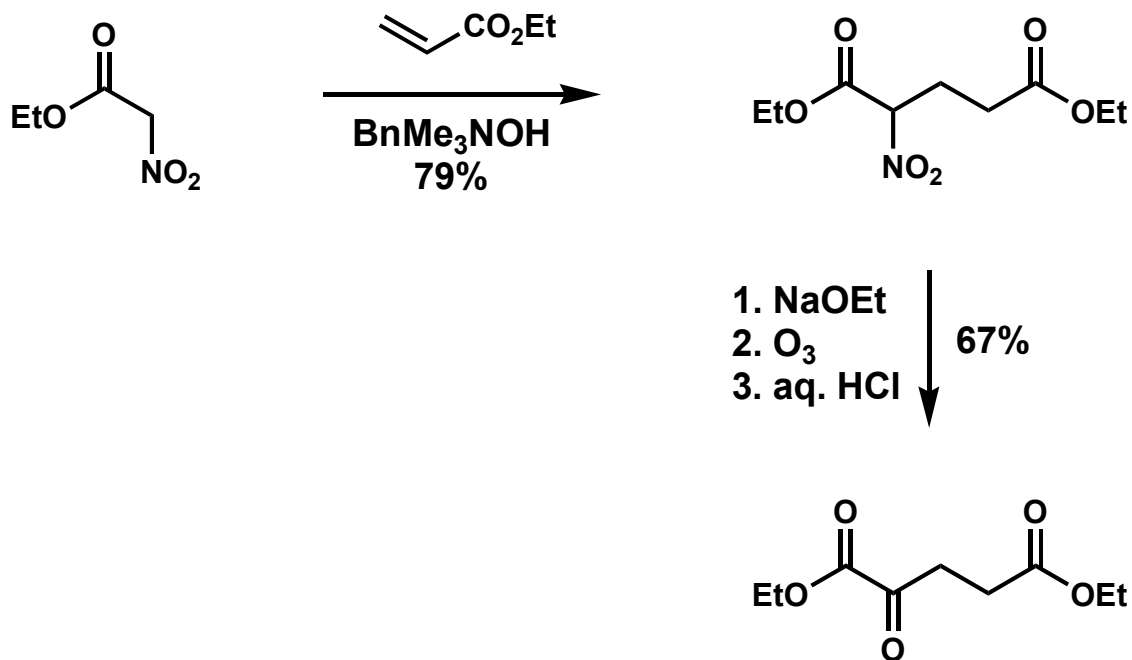
Henry reaction:  
Henry Compt. Rend. 1895 1265  
Rosini Comp. Org. Synth. 1991 321

Nef reaction:  
Nef Liebigs Ann. Chem. 1894 263  
Petrini Tetrahedron 2004 1017



# Acyl Anions

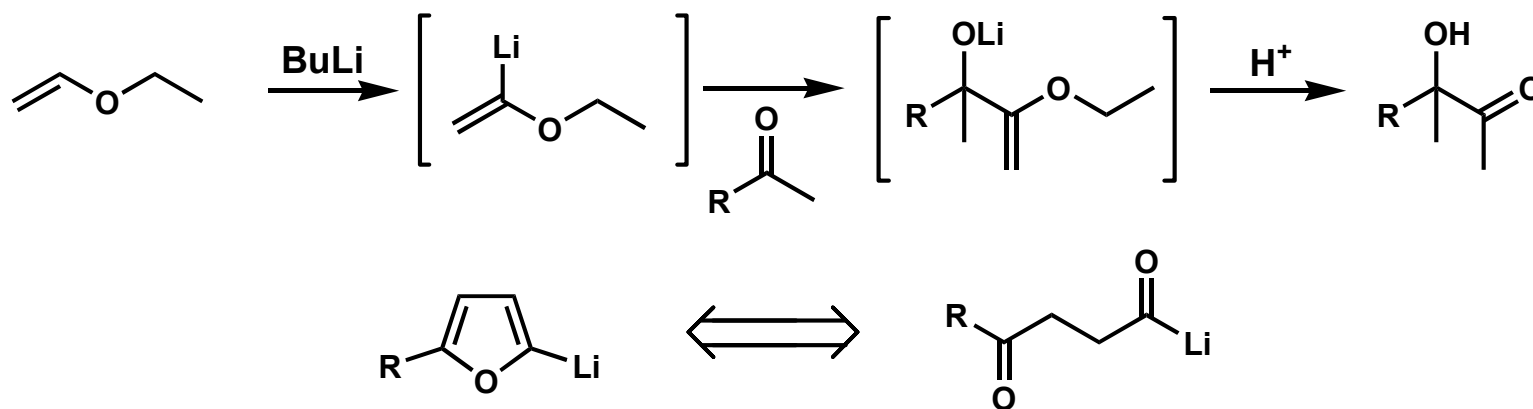
## 6. Nitronate anions (example)



# Acyl Anions

## 7. Metalated enol derivatives

- metalation of a protected enol, followed by reaction with an electrophile
- after hydrolysis, a net nucleophilic acylation has occurred

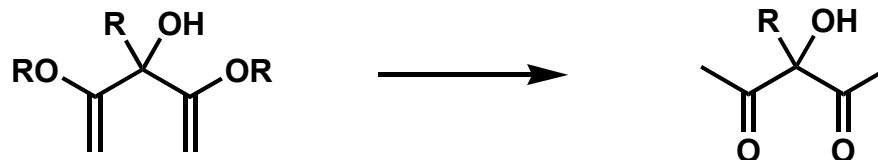


- big advantage: enol ether products are hydrolyzed under very mildly acidic conditions
- in addition to reactivity with ketones and aldehydes, lithio vinyl ethers are alkylated by primary iodides or allylic halides; acylated by aromatic acids (0.5 equiv.) or nitriles; silylated to give acylsilanes

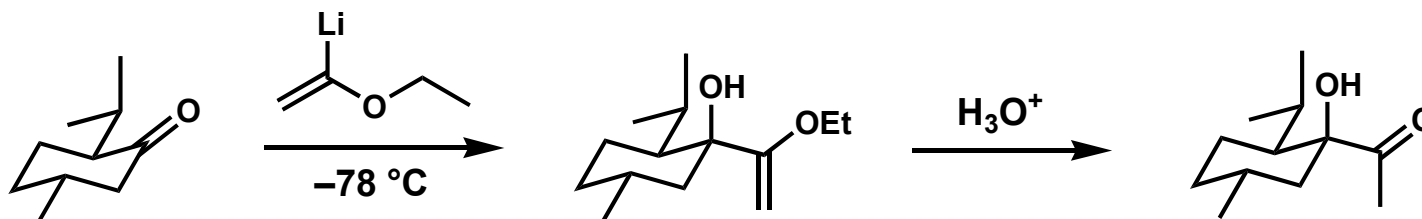
# Acyl Anions

## 7. Metalated enol derivatives

- aliphatic or aromatic esters add 2 equiv. of reagent to give bis-adducts
- such products are difficult to access without nucleophilic acylation



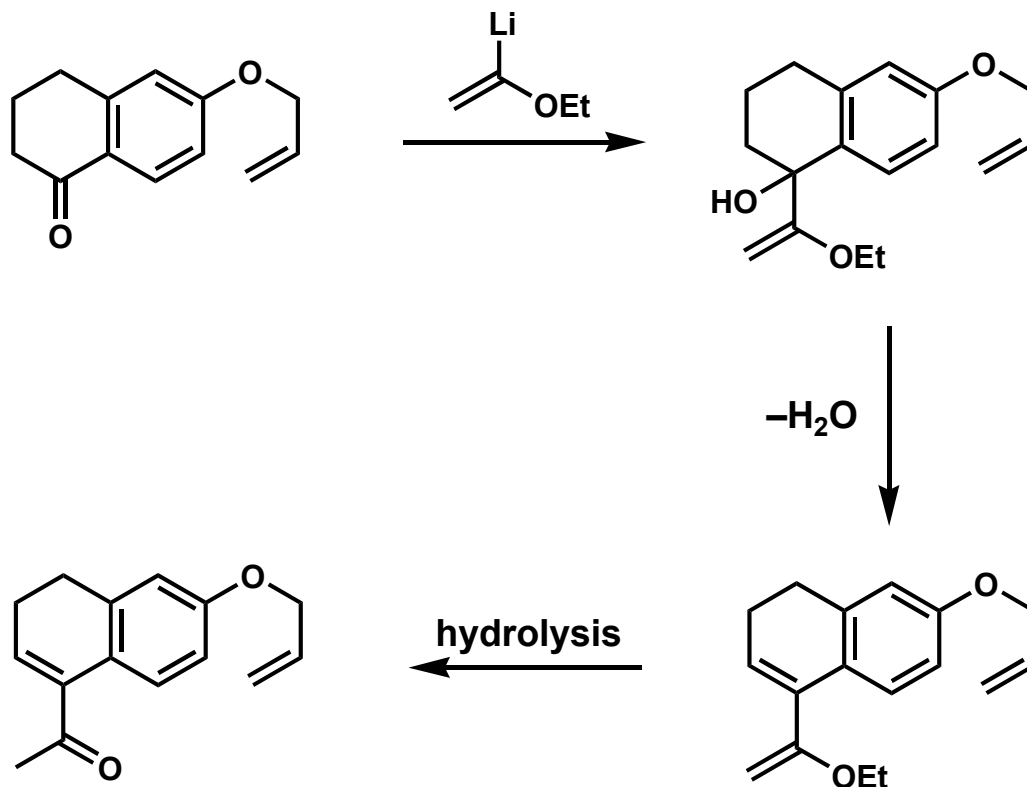
Review: Lever Tetrahedron 1976 1943



Funk, Shipe unpublished results

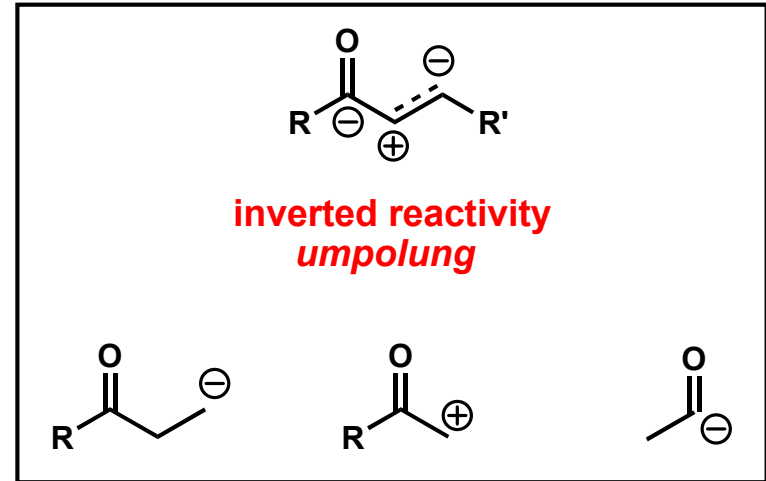
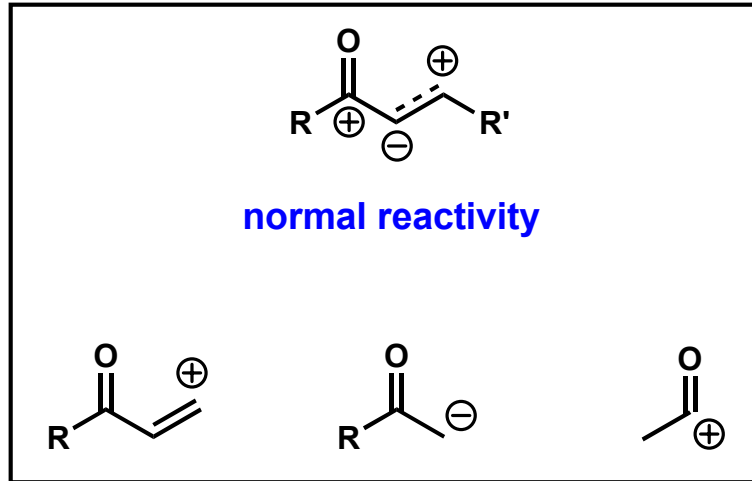
# Acyl Anions

## 7. Metalated enol derivatives (example)



In total synthesis of nicandrenones:  
Corey, Stoltz, Kano JACS 2000 9044

# Umpolung - Carbonyl Synthons

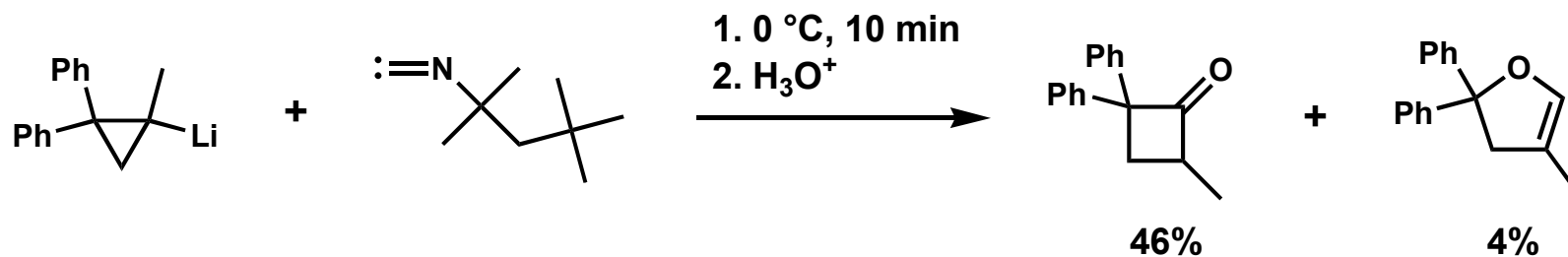


*Umpolung* provides flexibility in synthetic planning:

- **Michael acceptors** vs. **homoenolates**
- **enolates** vs.  **$\alpha$ -electrophiles**
- **carbonyls** vs. **acyl anions**

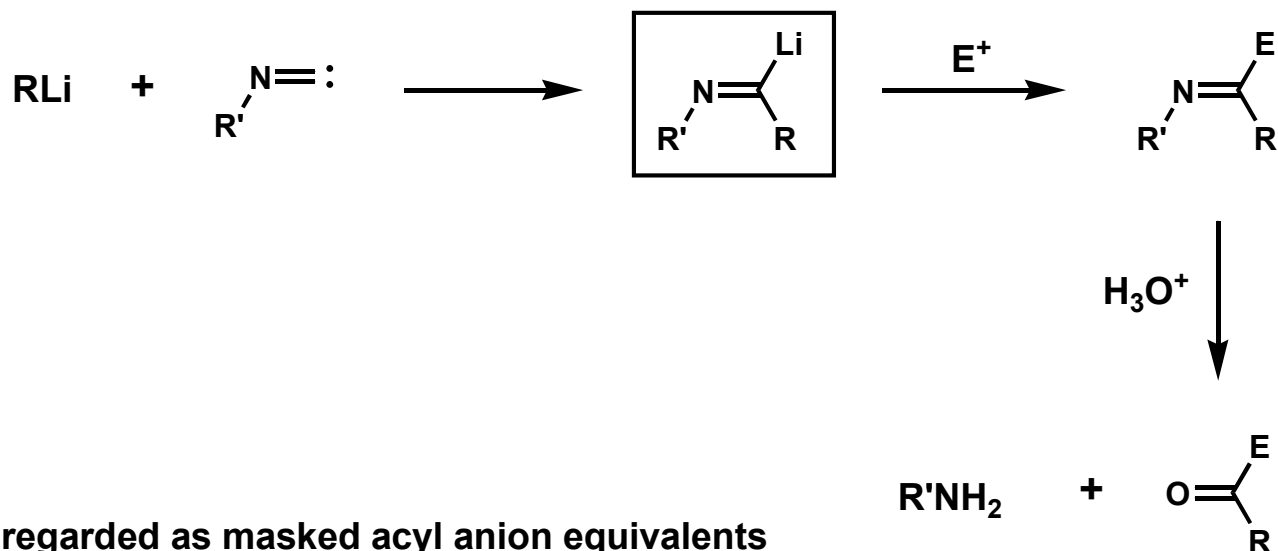
# Umpolung - Carbonyl Synthons

An interesting ring expansion was observed when a cyclopropyllithium reagent was treated with an isocyanide. Provide a plausible mechanism for the formation of the two products.



# Acyl Anions

## 8. Metallo aldimines



- can be regarded as masked acyl anion equivalents
- less efficient with Grignard reagents and aryllithiums
- fails with less basic anions like acetylides
- vinyl and propenyllithium undergo complicated side reactions

Walborsky et al. JOC 1974 600

Walborsky et al. JACS 1969 7778

Walborsky et al. JACS 1970 6675

# Mechanism Problem

An interesting ring expansion was observed when a cyclopropyllithium reagent was treated with an isocyanide. Provide a plausible mechanism for the formation of the two products.

